

# Retinoic acid represses CYP7A1 expression in human hepatocytes and HepG2 cells by FXR/RXR-dependent and independent mechanisms

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**Abstract** Cholesterol 7 $\alpha$ -hydroxylase (CYP7A1) plays a key role in maintaining lipid and bile salt homeostasis as it is the rate-limiting enzyme converting cholesterol to bile acids. Deficiency of CYP7A1 leads to hyperlipidemia in man and mouse. Hyperlipidemia is often seen in patients when treated with high-dose retinoic acid (RA), but the molecular mechanisms remain elusive. Our present study revealed that CYP7A1 mRNA expression is greatly repressed by RA in both human hepatocytes and HepG2 cells where increased fibroblast growth factor 19 (FGF19) and small heterodimer partner (SHP) expressions were also observed, suggesting farnesoid X receptor (FXR) and retinoid X receptor (RXR) were activated. Promoter reporter assays demonstrate that all-*trans* RA (atRA) specifically activated FXR/RXR. However, detailed molecular analyses indicate that this activation is through RXR, whose ligand is 9-*cis* RA. Knocking down of FXR or RXR $\alpha$  by small interference RNA (siRNA) in human hepatocytes increased CYP7A1 basal expression, but the repressive effect of atRA persisted, suggesting there are also FXR/RXR-independent mechanisms mediating atRA repression of CYP7A1 expression. Chromatin immunoprecipitation (ChIP) assay and cell transfection results indicate that PGC-1 $\alpha$  plays a role in the FXR/RXR-independent mechanism. Our findings may provide a potential explanation for hyperlipidemic side effects observed in some patients treated with high-dose RA.—Cai, S-Y., H. He, T. Nguyen, A. Mennone, and J. L. Boyer. Retinoic acid represses CYP7A1 expression in human hepatocytes and HepG2 cells by FXR/RXR-dependent and independent mechanisms. *J. Lipid Res.* 2010. 51: 2265–2274.

**Supplementary key words** nuclear receptor • cholesterol • bile acid • hyperlipidemia

Mammals dispose of cholesterol mainly by converting cholesterol to bile acids where cholesterol 7 $\alpha$ -hydroxylase (CYP7A1) is the rate-limiting enzyme (1). Genetic deficiency of CYP7A1 in humans leads to hyperlipidemia, including hypercholesterolemia and hypertriglyceridemia (2). Target deletion of *Cyp7a1* in mice results in hypercholesterolemia as well, although this depends on their genetic background (3, 4). Hyperlipidemia is common in patients with chronic cholestasis where CYP7A1 expression is also reduced (5). Regulation of the expression of CYP7A1 is mainly transcriptional and tightly controlled by nuclear receptors (6). Hepatocyte nuclear factor 4 $\alpha$  (HNF4 $\alpha$ , NR2A1) is the major activator of transcription together with the peroxisome proliferator-activated receptor gamma coactivator 1 alpha (PGC-1 $\alpha$ ) (7, 8). The liver-related homolog-1 (LRH-1, NR5A2) is also a positive activator (8–10). While bile acids are the product of this enzymatic reaction, a feedback loop exists where bile acids can repress the expression of CYP7A1 (11), via the bile acid farnesoid X receptor (FXR, NR1H4) (8–10, 12). Bile acid activation of FXR upregulates the expression of the small heterodimeric partner (SHP, NR0B2) and fibroblast growth factor 19 (FGF19). The latter two in turn repress CYP7A1 expression (10, 13, 14). As a negative regulator, SHP inhibits the activation of HNF4 $\alpha$  and LRH-1 in hepatocytes. Earlier studies indicated that CYP7A1 expression in hepatocytes was also inhibited by ileal secretion of FGF19 that circulates to the liver and binds to the FGF19

Abbreviations: APL, acute promyelocytic leukemia; atRA, all-*trans* retinoic acid; BSEP/Bsep, bile salt export pump; CDCA, chenodeoxycholic acid; ChIP, chromatin immunoprecipitation; CYP7A1, cholesterol 7 alpha-hydroxylase; FGF19, fibroblast growth factor 19; FXR/Fxr, farnesoid X receptor; HNF4 $\alpha$ , hepatocyte nuclear factor 4 $\alpha$ ; LBD, ligand binding domain; OST, organic solute transporter; PGC-1 $\alpha$ , peroxisome proliferator-activated receptor gamma coactivator 1 alpha; RA, retinoic acid; RAR $\alpha$ , retinoic acid receptor  $\alpha$ ; RXR, retinoid X receptor; SHP, small heterodimer partner; siRNA, small interference RNA; SRC-1, steroid receptor coactivator-1.

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receptor FGFR4 on the plasma membrane of hepatocytes through the JNK signal transduction pathway (13, 15). However, activation of FXR can also increase FGF19 expression in the liver, suggesting that hepatic FGF19 may also repress CYP7A1 expression by an autocrine/paracrine mechanism in humans (14). Elevated FGF19, diminished CYP7A1, and unchanged levels of SHP mRNA expression have also been reported in the livers of patients with extrahepatic bile duct obstruction, findings which are reversed by biliary drainage, further supporting this mechanism (5). Together, these observations suggest that the FXR-FGF19 pathway may play a more important role than FXR-SHP in regulating CYP7A1 expression in vivo in human liver. The role of FXR in downregulation of CYP7A1 expression is further confirmed in *Fxr*<sup>-/-</sup> mice, where *Cyp7a1* expression is increased (16).

FXR has been characterized as the bile acid nuclear receptor (17–19). It plays a pivotal role in maintaining bile salt and lipid homeostasis by regulating the expression of several key genes involved in bile acid synthesis, metabolism, and transport in the liver (16). In addition to CYP7A1, sterol 27-hydroxylase (CYP27A1) and Na<sup>+</sup>/taurocholate cotransporting polypeptide (NTCP, SLC10A1) are targets of FXR as well, mediated through SHP (20, 21). CYP27A1 initiates the acidic pathway of bile acid synthesis. FXR also directly regulates the expression of UDP glucuronosyltransferase 2B4 (UGT2B4), the bile salt export pump (BSEP, ABCB11), and the organic solute transporter  $\alpha$  and  $\beta$  (OST $\alpha$ -OST $\beta$ ) (22–25). Furthermore, FXR modulates the expression of genes involved in lipid and glucose metabolism, such as phospholipid transfer protein (PLTP), apolipoprotein C2 (ApoC2), ApoC3, and phosphoenolpyruvate carboxylase (PEPCK) (26–28).

Chenodeoxycholic acid (CDCA) is considered to be the endogenous ligand for FXR (17). However, as a permissive nuclear receptor, FXR can also be activated through the ligand of its obligated heterodimeric partner, the retinoid X receptor (RXR, NR2B) (29, 30), where 9-*cis* retinoic acid (9cRA) is its specific ligand (31). However, Zavack et al. have reported that all-*trans* retinoic acid (atRA) and TTNPB 4-[(E)-2-(5,6,7,8-Tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1-propenyl]benzoic acid activate mouse *Fxr* in a reporter assay and suggest that the metabolites of these two compounds may be the true ligands for FXR (32). While characterizing a primitive *Fxr* from the marine skate *Leucoraja erinacea*, we have also observed that atRA is a conserved activator of FXR in a reporter assay using cotransfection of skate *Fxr* and human RXR $\alpha$  expression constructs (33). These observations raise two questions: (1) Is atRA or its metabolite a true ligand for FXR? and (2) Does atRA modulate the expression of FXR targets? Intriguingly, high-dose RA (1.0–1.5 mg/kg/day) has been used to treat patients with leukemia, certain cancers, and some dermatological disorders, where retinoic acid receptors (RAR, NR1A) are the presumed target. However, numerous reports have documented that hyperlipidemia is often a major side effect, including hypercholesterolemia and hypertriglyceridemia (34–39). Increased total serum cholesterol levels

were also seen in some gallstone patients treated with high-dose CDCA (40). The molecular mechanisms underlying these side effects of RA treatment remain to be determined.

In this report, we find that atRA represses CYP7A1 expression in both human primary hepatocytes and hepatoma HepG2 cells. We also demonstrate that this repression of CYP7A1 is mediated through both FXR/RXR-dependent and independent mechanisms. These findings may help to explain the hyperlipidemia observed in some patients, such as those with acute promyelocytic leukemia (APL) or acne, who are treated with high-dose RA.

## MATERIAL AND METHODS

### Materials

Chemicals were purchased from Sigma, except where otherwise specified. Cell culture media (DMEM), fetal bovine serum (FBS), penicillin/streptomycin, trypsin, and PBS were from Invitrogen (Carlsbad, CA). HMM medium is from Lonza (Walkersville, MD). Matrigel were purchased from BD Sciences (Bedford, MA). Luciferase assay kit was purchased from Promega (Madison, WI). [<sup>35</sup>S]-L-Methionine and ECL reagents were purchased from Amersham (Piscataway, NJ). DNA oligos and sequencing were provided by the Keck Biotechnology Center at Yale University. UVI3001 and UVI3003 are gifts from Prof. Angel R. de Lera (Universidad de Vigo, Spain) (41).

### Plasmid constructs

Human *FXR* (*hFXR*), *RXR $\alpha$*  (*hRXR $\alpha$* ) and *I-BABP* promoter reporter constructs are described in a previous article (33). Mouse HNF4 $\alpha$  and PGC-1 $\alpha$  expression plasmids were provided by Dr. Peter Edwards (University of California at Los Angeles, Los Angeles, CA). Human FXR W469A mutant (pCMX-hFXR-W469A) was a gift from Dr. David Mangelsdorf (University of Texas Southwestern Medical Center, Dallas, TX). Mouse *Fxr*-LBD and TK-gal4 reporter constructs were provided by Dr. David Moore (Baylor College of Medicine, Houston, TX). Constructs pCMX-hFXR- $\Delta$ H12, pCMX-hRXR $\alpha$ - $\Delta$ C19 were generated by mutating P463X in hFXR and D444X in hRXR $\alpha$ , respectively, using the Quick-Change kit (Stratagene, La Jolla, CA). pPac0 and pPacRnLuc plasmids for gene expression in *Drosophila* S2 cells were provided by Dr. Robert Diasio (University of Alabama, Birmingham, AL). pPac-hFXR and pPac-hRXR $\alpha$  were made by inserting hFXR and hRXR $\alpha$  full-length coding regions into pPac0 vector. GST-FXR LBD and GST-FXR-LBD $\Delta$ H1-5 expression constructs was made by inserting hFXR LBD or the C-terminal of hFXR LBD (AA341-472) into BamHI and XhoI sites of pGEX-5X-3 vector (Amersham). All mutants were confirmed by DNA sequencing. For the GST-hSRC-1 construct, a 680bp DNA fragment encoding the human steroid receptor coactivator 1 (hSRC-1) amino acid 561-782 was amplified from HepG2 cell cDNA library using PfuUltra DNA polymerase (Stratagene), confirmed by sequencing, and cloned into BamHI and XhoI sites of a pGEX-5X-3 vector. The primers are listed in **Table 1**.

### siRNA oligonucleotides

Four sets of double-strand, small interference RNA (siRNA) oligos that target human RXR $\alpha$  were chemically synthesized and annealed to duplex by Dharmacon (Chicago, IL) (Table 1). FXR siRNA and control RNA oligo, siCONTROL RISC-Free siRNA (sequence proprietary) were also purchased from Dharmacon.

TABLE 1. DNA sequence of PCR primers and siRNA oligos

Name	Sequence 5'→3'
hRXR $\alpha$ -siRNA1	TGACGGAGCTTGTGTCCAATT
hRXR $\alpha$ -siRNA2	CAACAAGGACTGCCTGATTTT
hRXR $\alpha$ -siRNA3	GCAAGGACCTGACCTACACTT
hRXR $\alpha$ -siRNA4	GCAAGGACCCGAACGAGAATT
hFXR P463X	CAAGTTTACCTGACTTCTCTGTGAAATCTGG
hRXR $\alpha$ D444X	GCTCATCGGGTAGACACCCATTGACACCTTCCT
hFXR-F21	GCTGGATCCCGTCTGGGCATTCTGAC
hFXR-F35	GCTGGATCCCAACAAAGTCATGCAGGG
hFXR-R22	CGCACTCGAGTCACTGCACGTCACAGATT
hSRC-1-F	GCTGGATCCTCAGGCAGATGAGCTCAC
hSRC-1-R	CGCACTCGAGATCCATCTGTCTTTCTTTTCC

### Cell culture and transfection

Human hepatocytes were obtained from the Liver Tissue Procurement and Distribution System of the National Institutes of Health (Dr. Stephen Strom, University of Pittsburgh), and maintained on collagen-coated plates using HMM medium (containing dexamethasone and insulin, each 0.1  $\mu$ M) and overlaid with Matrigel. Purified monoclonal anti-human FGF-19 antibody was purchased from R&D Systems (Minneapolis, MN). HepG2 and HEK293T cells were grown at 37°C in DMEM medium with 10% FBS in 5% CO<sub>2</sub> atmosphere. *Drosophila* S2 cells (kindly provided by Dr. Tian Xu's lab at Yale University) were maintained in Schneider's *Drosophila* Medium (Invitrogen) supplemented with 10% FBS at 25°C incubation. Lipofectamine 2000 (Invitrogen) was used for transfection of human primary hepatocytes, HepG2, and HEK293T cells, whereas Effectene from Qiagen (Valencia, CA) was used for transfection of *Drosophila* S2 cells. All cells were transfected in serum-free medium for 16 h. After transfection, cells were treated with specified chemicals for an additional 24 h in serum-free or charcoal-stripped medium.

### Quantitative real-time PCR analysis

Total RNA was extracted, purified, and converted to cDNA as template. Real-time PCR was performed in an ABI7500 Sequence Detection System. TaqMan primers/probe of GAPDH, SHP, CYP7A1, BSEP, OST $\alpha$ , and OST $\beta$  are as previously described (42), whereas primers/probe of FGF19, CYP27A1, and NTCP were purchased from ABL. GAPDH was used as reference for normalizing the data.

### Western blot analyses

Protein was extracted from samples and analyzed as previously described (42). Polyclonal antibodies against FXR, RXR $\alpha$ , HNF4 $\alpha$ , PGC-1 $\alpha$ , and SH-PTP were from Santa Cruz and used at dilutions of 1:200, 1:500, 1:150, 1:150, and 1:1000, respectively. For Western blot analysis, total cell lysate (~50  $\mu$ g protein) were separated on a 10% SDS-polyacrylamide gel. After electrophoresis, proteins were transferred onto polyvinylidene fluoride (PVDF) microporous membranes (BioRad), the membranes were blocked with 5% nonfat milk, followed by primary antibody and HRP-conjugated secondary antibody incubation. The immune complexes were detected with the enhanced chemiluminescence reagent kit, and the signals were recorded on X-ray film.

### Luciferase reporter assay

A dual-luciferase assay was applied for functional characterization by cotransfecting *hFXR* construct with the *pCMX-hRXR $\alpha$* , *pGL3-hI-BABP*, and *phRL-CMV* plasmids (constitutively expressing *Renilla* luciferase) into HEK293T or HepG2 cells as previously

described (33). The firefly luciferase activity was normalized by *Renilla* luciferase activity. Data are presented as mean  $\pm$  SD of three or more independent experiments, and each transfection was performed in triplicate.

### <sup>3</sup>H-atRA binding assay and coactivator recruitment assay

The GST, GST-hFXR LBD, GST-hFXR LBD $\Delta$ H1-5, and GST-hSRC-1 (AA561-782) fusion proteins were expressed in *E. coli* DH5 $\alpha$  and purified using glutathione-Sepharose 4B beads. Different amounts of <sup>3</sup>H-atRA were directly incubated with proteins bound on Sepharose 4B beads and analyzed as described previously (43). <sup>35</sup>S-labeled hFXR and hRAR $\alpha$  were synthesized using the TNT T7 QuickCoupled transcription/translation kit (Promega) according to the manufacturer's instructions. The GST pulldown assay was performed as Lew et al. described (44).

### ChIP assay

HepG2 cells were grown in 10-cm dishes and treated with 5  $\mu$ M atRA or solvent (DMSO) for 24 h. The cells are fixed/cross-linked by directly adding formaldehyde to 1% (final concentration). The chromatin immunoprecipitation (ChIP) assay was performed using a kit from Millipore-Upstate (Temecula, CA). Antibodies against HNF4 $\alpha$  or PGC-1 $\alpha$  were purchased from Santa Cruz. PCR was performed as Li et al. described (45).

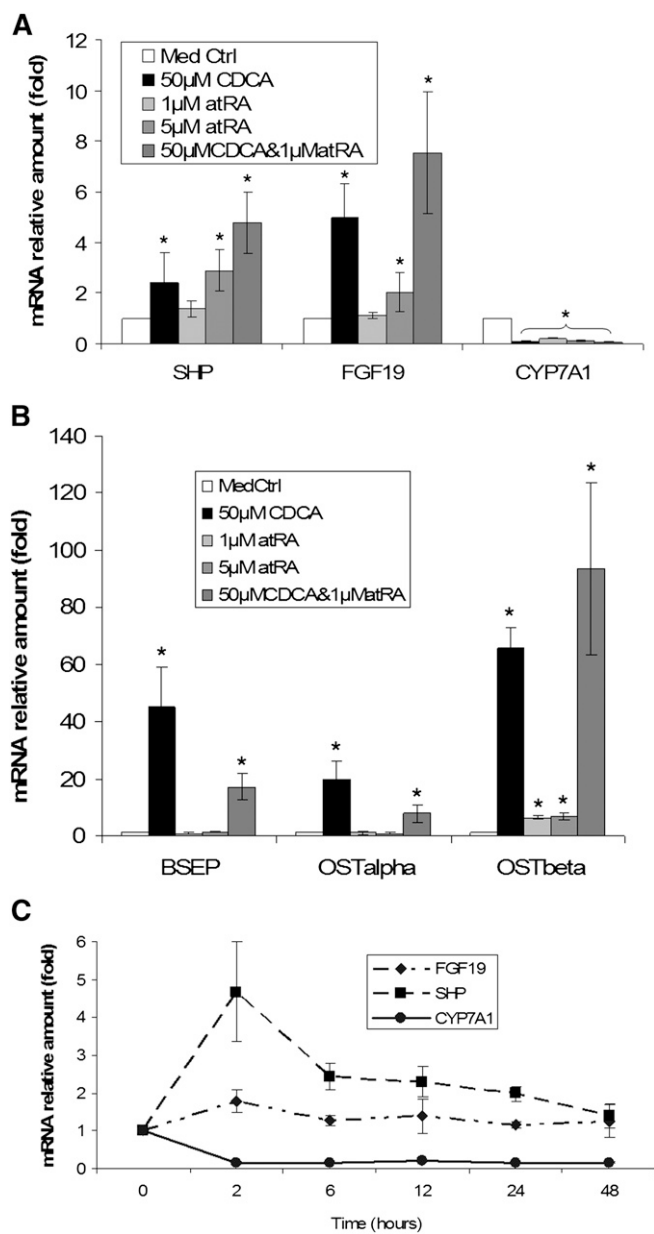
### Statistical analysis

Data are expressed as means  $\pm$  SD. Differences between experimental groups were assessed for significance using the two-tailed paired Student *t*-test. A *P* value of < 0.05 was considered statistically significant.

## RESULTS

### AtRA represses CYP7A1 expression in HepG2 cells

To assess whether atRA modulates the expression of FXR targets involved with bile acid synthesis, metabolism, and transport, as well as lipid catabolism, we treated HepG2 cells with atRA and/or CDCA for 24 h and measured the expression of several FXR targets in the liver using real-time quantitative PCR. Strikingly, 1  $\mu$ M and 5  $\mu$ M atRA reduced CYP7A1 mRNA levels to 21% and 13% of levels in control cells, respectively, whereas 50  $\mu$ M CDCA reduced CYP7A1 expression to 8.3%. The combination of 1  $\mu$ M atRA and 50  $\mu$ M CDCA was synergistic and suppressed CYP7A1 mRNA expression further to 4.7% of control values. Consistent with this observation, expression levels of SHP and FGF19 mRNA in these cells were markedly increased (Fig. 1A). UGT2B4 and OST $\beta$  mRNA expression levels were also significantly induced by atRA or CDCA treatment alone, with the combination demonstrating synergistic effects (Fig. 1B and data not shown). In contrast, atRA alone did not significantly increase BSEP or OST $\alpha$  mRNA levels, whereas 50  $\mu$ M CDCA stimulated their mRNA expression by 45- and 20-fold, respectively. Interestingly, 1  $\mu$ M atRA partially antagonized CDCA's stimulation of both BSEP and OST $\alpha$  (Fig. 1B). A time-course experiment showed that 5  $\mu$ M atRA decreased CYP7A1 mRNA expression in association with increased SHP and FGF19 mRNA expression in HepG2 cells beginning 2 h after treatment, while CYP7A1 expression remained low throughout the time period (Fig. 1C).

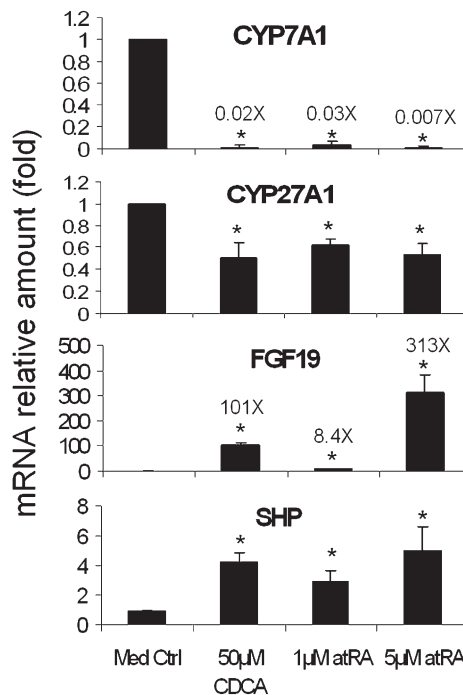


**Fig. 1.** atRA represses CYP7A1 mRNA expression in HepG2 cells. HepG2 cells were treated with indicated chemicals for 24 h. A: atRA and CDCA synergistically represses CYP7A1 expression accompanied by induction of SHP and FGF19. B: atRA induces OST $\beta$  expression, but not BSEP or OST $\alpha$ . C: Time course of the expression of CYP7A1, SHP, and FGF19 after 5  $\mu$ M atRA treatment. \* $P$  < 0.05;  $n$  = 4. atRA, all-*trans* retinoic acid; CDCA, chenodeoxycholic acid; CYP7A1, cholesterol 7  $\alpha$ -hydroxylase; FGF19, fibroblast growth factor 19; OST, organic solute transporter; SHP, small heterodimer partner.

#### AtRA represses CYP7A1 expression in human hepatocytes

To determine whether atRA has the same effect on CYP7A1 expression in human primary hepatocytes as seen in HepG2 cells, we treated human hepatocytes with atRA for 24 h. In this experiment, both 1  $\mu$ M and 5  $\mu$ M atRA decreased CYP7A1 mRNA expression to 3% and <1% of the controls, respectively, a greater repression than in HepG2 cells. Consistent with this observation, a greater in-

duction of FGF19 mRNA expression was detected in human hepatocytes than in HepG2 cells (8.4- and 313-fold, respectively) (Fig. 2). As a positive control, 50  $\mu$ M CDCA reduced CYP7A1 to 2% and increased FGF19 by 101-fold. In addition, CYP27A1 and NTCP were significantly reduced to around 50% in CDCA- or atRA-treated cells, whereas SHP mRNA expression was increased (Fig. 2 and data not shown). Because marked increases in FGF19 expression and greater decreases of CYP7A1 were seen in atRA-treated human hepatocytes, we determined if antagonizing FGF19 could diminish atRA repression of CYP7A1. Purified FGF19 monoclonal antibody was added to human hepatocytes for 24 h. As expected, 10  $\mu$ g/ml FGF19 antibodies reduced 5  $\mu$ M atRA repression of CYP7A1 by nearly 4-fold (CYP7A1 mRNA increased from 0.67% to 2.6% of DMSO vector control). Of note, FGF19 antibody alone or control mouse IgG did not alter mRNA expression of CYP7A1, FGF19, or SHP. Interestingly, SHP mRNA was further increased by additions of FGF19 antibody to atRA-treated cells (from 4.4-fold by atRA alone to nearly 6-fold after FGF19 antibody was added). This result suggests that compromised FGF19 function may be compensated in part by increases in SHP expression as part of the mechanisms of CYP7A1 regulation. Taken together, atRA greatly repressed CYP7A1 expression, along with substantial increases in FGF19 and SHP expression in both HepG2 cells and primary human hepatocytes, suggesting that FXR/RXR activation may play a central role in regulation of these genes.



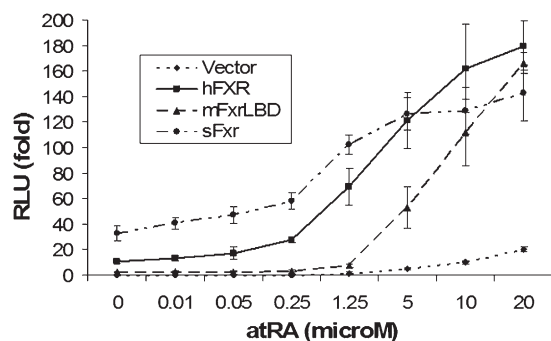
**Fig. 2.** atRA demonstrates great repression of CYP7A1 mRNA expression (more than 95% repression) in primary human hepatocytes, where FGF19 was greatly induced. \* $P$  < 0.05;  $n$  = 4. atRA, all-*trans* retinoic acid; CYP7A1, cholesterol 7  $\alpha$ -hydroxylase; CYP27A1, sterol 27-hydroxylase; FGF19, fibroblast growth factor 19; SHP, small heterodimer partner.

### AtRA specifically activates FXR/RXR in reporter assays

AtRA is known to activate FXR/Fxrs in reporter assays (32, 33). To confirm that this activation is specific for FXR, pGL3-hI-BABP and hRXR $\alpha$  expression constructs were cotransfected into HEK293T cells with expression plasmids of hFXR or human RAR $\alpha$  (hRAR $\alpha$ ) or empty vector pcDNA3. After transfection, the cells were treated with 1  $\mu$ M atRA for 24 h. Dual-luciferase assays indicate that significant activation was only detected in hFXR cotransfected cells, not in cells cotransfected with the empty vector or hRAR $\alpha$  (data not shown), indicating that atRA stimulation of the FXR-response element (FXRE) reporter activity is FXR/RXR-dependent. To test the specificity of atRA in this activation, we next treated HEK293T cells with different doses of atRA after transfecting with hFXR, mouse Fxr LBD, and skate Fxr. As shown in Fig. 3, all three FXR/Fxrs were greatly activated by atRA in a dose-dependent manner with an EC<sub>50</sub>  $\sim$ 2.5  $\mu$ M. Of note, the reporter construct for mouse Fxr LBD activates TK gal4 response elements but not an FXRE. In addition, when the same concentrations were used in these transfected cells for 24 h, we observed stronger activation of FXR/RXR by 9cRA and 13-*cis* RA than atRA, whereas 1  $\mu$ M of all-*trans* retinol or all-*trans* retinal had no significant effects. Further, 2.5  $\mu$ M atRA significantly stimulated the activity of the human BSEP minimal promoter by 3-fold in reporter assays when HEK293T cells were cotransfected with hFXR and hRXR $\alpha$ , in contrast to endogenous BSEP mRNA expression in HepG2 cells. Taken together, these findings suggest that RAs are conserved activators for FXR/RXR.

### AtRA is not a ligand for FXR

To examine whether atRA can bind to the FXR ligand binding domain, we generated expression constructs for a GST-hFXR LBD and a truncated hFXR LBD. The fusion proteins were purified and used for a direct binding assay with <sup>3</sup>H-atRA. However, we did not observe any difference in binding between the GST-hFXR LBD fusion protein



**Fig. 3.** atRA activates human, mouse, and skate FXRs in reporter assay. The FXR response element (FXRE) in human I-BABP promoter and FXR, RXR, and *Renilla* luciferase expression constructs were transfected into HEK293T cells. After transfection, the cells were treated with indicated amount of atRA for additional 24 h ( $n = 4$ ). Data normalized to *Renilla* luciferase activity and expressed as fold changes by setting pcDNA3 vector-transfected and medium-treated cells as 1. atRA, all-*trans* retinoic acid; FXR, farnesoid X receptor; RXR, retinoid X receptor.

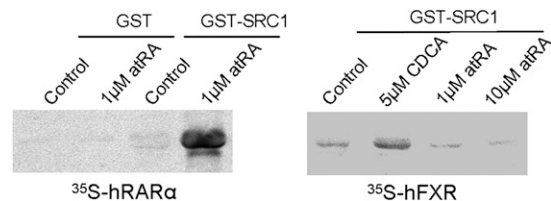
and the truncated form, to which no ligand is supposed to bind. This assay indicates that atRA does not specifically bind to the LBD of hFXR. To further confirm this negative finding, we performed a steroid receptor coactivator recruitment assay. In this experiment, we used GST-hSRC1 fusion protein to pull down in-vitro translated <sup>35</sup>S-methionine-labeled hFXR as previously described (44). As Fig. 4 shows, 5  $\mu$ M CDCA triggered hFXR binding to the hSRC1 fragment, whereas neither 1  $\mu$ M nor 10  $\mu$ M atRA did. In contrast, 1  $\mu$ M atRA greatly induced hRAR $\alpha$  binding to the hSRC1 fragment. These findings indicate that atRA is not a true ligand for FXR.

### Guggulsterone antagonized CDCA but not atRA activation of FXR/RXR in reporter assays

To explore whether any metabolites of atRA might be ligands for FXR, we performed competition experiments with guggulsterone, a known antagonist for FXR (46), in the reporter assay. As Fig. 5A shows, 25  $\mu$ M guggulsterone greatly repressed CDCA activation of FXR/RXR, in agreement with previous findings. In contrast, guggulsterone slightly increased atRA and UVI3001 (a specific RXR agonist) activation of FXR/RXR. This result rules out the possibility that an atRA metabolite might be a ligand for FXR and suggests that atRA or its metabolites may permissively stimulate FXR/RXR activity by activating RXR. If this is the case, one would expect a synergistic effect when the transfected cells are treated with CDCA and atRA. As predicted, CDCA and atRA synergistically induced FXR/RXR activity in the reporter assay in a dose-dependent manner (Fig. 5B). This synergistic effect was also seen when a minimal human BSEP promoter reporter construct was used in the assay. To further assess the role of RXR in this activation, we treated FXR/RXR transfected cells with RXR antagonist UVI3003 in combination with atRA, UVI3001, and CDCA. In this experiment, UVI3003 inhibited the activation of all three compounds on FXR/RXR in a dose-dependent manner (Fig. 5C), indicating a critical role for RXR in FXR/RXR activation.

### Functionally deficient mutations of RXR but not FXR abolished FXR/RXR activation by atRA

The Helix 12 (H12) in LBD, also called active function 2 (AF2), is a key motif in nuclear receptor ligand-dependent activation, including FXR and RXR $\alpha$ . It plays two roles: (1) binding to ligands and (2) interacting with coactivators, although these may occur at different residues. To further confirm that atRA stimulates FXR/RXR activity by activating RXR but not FXR, we tested three mutants: hFXR W469A, hFXR  $\Delta$ H12, and hRXR $\alpha$   $\Delta$ C19. The W469A mutation only disables FXR-specific ligand binding, but the H12 is still capable of binding to the coactivators. However, truncation of H12 not only disables FXR ligand binding but also greatly compromises FXR/RXR interaction with coactivators. As expected, the hFXR W469A mutation completely abolished the stimulatory effects of two high-affinity FXR ligands, CDCA and TTNPB, in transfected HEK293T cells. In contrast, inductions of activity by atRA or UVI3001, while slightly reduced compared with the



**Fig. 4.** Coactivator recruit assay using recombinant human steroid receptor coactivator 1 (hSRC1) and  $^{35}\text{S}$ -methionine labeled FXR and RAR $\alpha$ . Purified GST-hSRC1 (fragment) fusion protein on glutathione beads were used to pull down  $^{35}\text{S}$ -FXR and  $^{35}\text{S}$ -RAR $\alpha$  with or without addition of CDCA or atRA. atRA, all-*trans* retinoic acid; CDCA, chenodeoxycholic acid; FXR, farnesoid X receptor; GST, glutathione S-transferase; RAR $\alpha$ , retinoic acid receptor  $\alpha$ ; SRC1, steroid receptor coactivator 1.

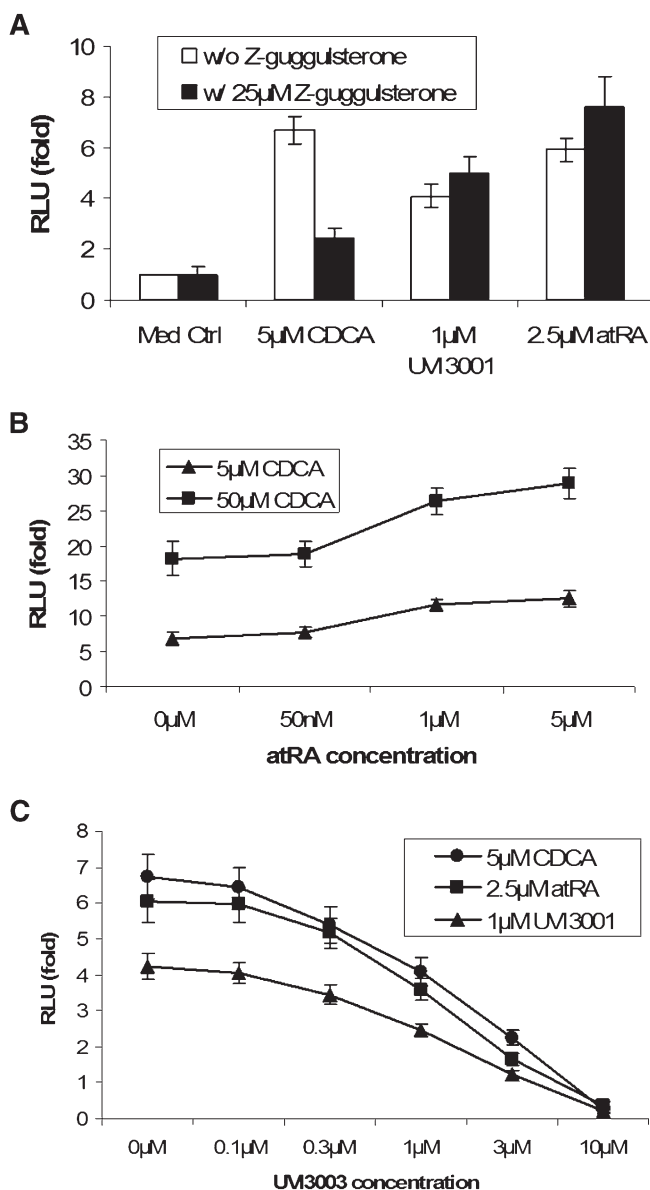
wild-type control, were essentially unaffected (**Fig. 6A**). Similar results were obtained with the hFXR  $\Delta\text{H12}$  mutation (**Fig. 6B**). However, when hRXR $\alpha$  was mutated, induction by atRA was greatly diminished (**Fig. 6B**). This observation was further confirmed in transfected *Drosophila* S2 cells where endogenous RXR is deficient (**Fig. 6C**). Together, these findings strongly indicate that RXR is the key component required for activation of FXR/RXR by atRA and that this permissive activation by atRA leads to repression of CYP7A1 expression in both human hepatocytes and HepG2 cells.

#### CYP7A1 mRNA expression was not abolished in FXR and RXR $\alpha$ knockdown human hepatocytes and HepG2 cells

To further determine the functional role of FXR/RXR $\alpha$  in repression of CYP7A1 expression by atRA, we knocked down the expression of FXR and RXR $\alpha$  in human hepatocytes using siRNA transfection. Western blot demonstrated that both FXR and RXR $\alpha$  protein expression were markedly reduced in human hepatocytes by these siRNAs (**Fig. 7A**). Interestingly, the basal mRNA expression of CYP7A1 increased by 2.7-fold in siFXR cells, while FGF19 mRNA expression decreased by 50%. In contrast, SHP mRNA levels remained essentially unchanged in all siRNA-transfected cells (**Fig. 7B**). These results suggest that FXR regulates CYP7A1 expression predominantly through FGF19 instead of SHP in human hepatocytes. However, when these cells were treated with 5  $\mu\text{M}$  atRA for 24 h, substantial repression of CYP7A1 mRNA was seen in these cells, although reduced induction of FGF19 was observed in atRA-treated siFXR and siRXR $\alpha$  cells. The persistent repression of CYP7A1 by atRA suggests that there may be atRA-mediated FXR/RXR-independent mechanisms in this regulation.

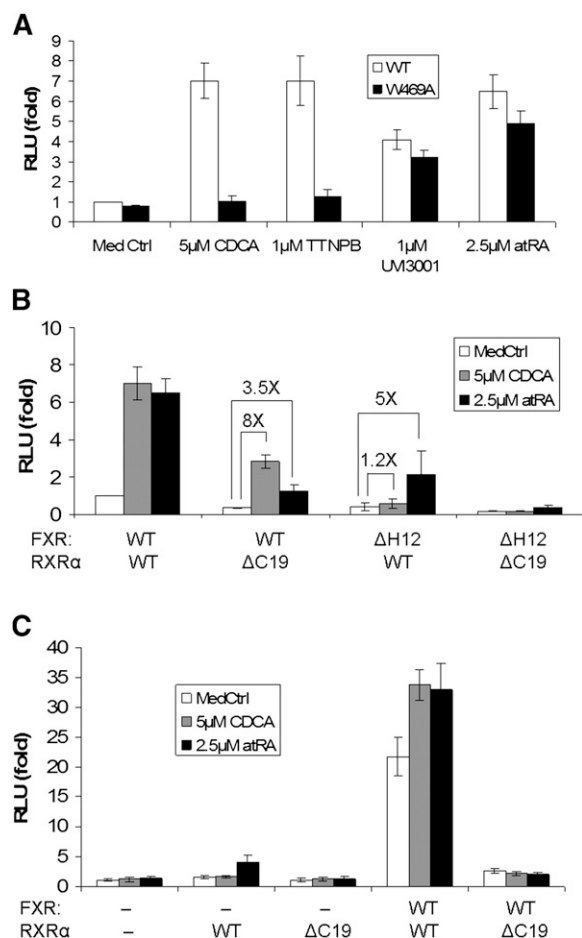
#### AtRA repression of CYP7A1 expression was diminished in PGC-1 $\alpha$ transfected HepG2 cells

To determine whether other atRA-mediated transcriptional events might also play a role in repression of CYP7A1, we examined the effects of cotransfection with PGC-1 $\alpha$ , a key coactivator of HNF4 $\alpha$ -induced CYP7A1 expression. HepG2 cells were transfected with mouse PGC-1 $\alpha$ , mouse HNF4 $\alpha$ , or pcDNA3 empty vector for 16 h and then treated with atRA for an additional 24 h. As shown in **Fig. 8A**,



**Fig. 5.** Reporter assays indicate that atRA or its metabolites may not be ligands for FXR. Human I-BABP promoter luciferase construct was cotransfected with hFXR and RXR $\alpha$  expression vectors into HEK293T cells, and then treated with indicated chemicals for 24 h ( $n = 4$ ). **A:** Guggulsterone, an antagonist of FXR, inhibits CDCA, but not UVI3001 (an RXR-specific agonist) or atRA on FXR activation. **B:** atRA and CDCA synergistically activate hFXR. **C:** RXR antagonist UVI3003 inhibited FXR activation, indicating a critical role of RXR in this activation. atRA, all-*trans* retinoic acid; CDCA, chenodeoxycholic acid; FXR, farnesoid X receptor; RXR $\alpha$ , retinoid X receptor  $\alpha$ .

transfection of mouse PGC-1 $\alpha$  and HNF4 $\alpha$  increased endogenous CYP7A1 mRNA by 30% and 130%, respectively, confirming that both PGC-1 $\alpha$  and HNF4 $\alpha$  are positive regulators in CYP7A1 expression. When these cells were treated with 5  $\mu\text{M}$  atRA, CYP7A1 mRNA was decreased to 9.5% ( $\pm 2.5\%$ ) and 8.7% ( $\pm 2.8\%$ ) in the empty vector and HNF4 $\alpha$ -transfected cells, respectively, of the basal expression with the empty vector (**Fig. 8A**). In contrast, atRA repression of CYP7A1 mRNA was significantly diminished in PGC-1 $\alpha$ -transfected cells, which decreased to only 19.9% ( $\pm 5.2\%$ )

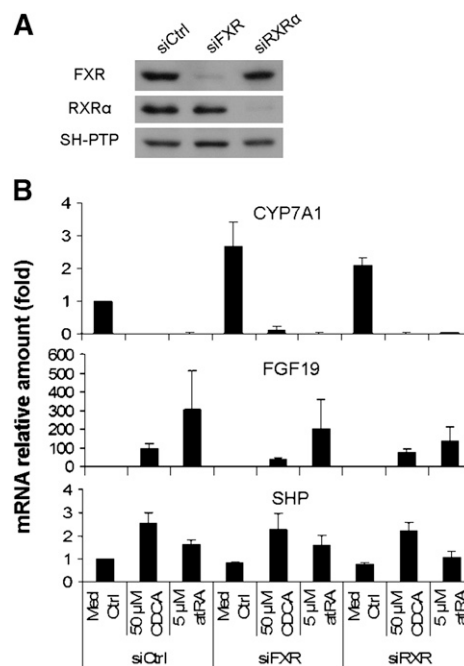


**Fig. 6.** Reporter assays indicate that atRA activates FXR/RXR through RXR. Human I-BABP promoter luciferase construct was cotransfected with hFXR and RXR $\alpha$  expression vectors into HEK293T or *Drosophila* S2 cells, and then treated with indicated chemicals for 24 h (n = 4). **A:** Mutation in FXR (W469A) abolishes activation by CDCA and TTNPB (two FXR ligands) but not UVI3001 (an RXR $\alpha$  specific agonist) and atRA in transfected HEK293T cells. **B:** Mutant of RXR $\alpha$  ( $\Delta$ C19) diminished atRA activation of FXR/RXR in transfected HEK293T cells. **C:** Mutation of RXR ( $\Delta$ C19) abolishes FXR/RXR activation on human I-BABP promoter in S2 cells (deficient in RXR), again indicating that RXR is essential in FXR activation. atRA, all-*trans* retinoic acid; CDCA, chenodeoxycholic acid; FXR, farnesoid X receptor; RXR $\alpha$ , retinoid X receptor  $\alpha$ .

( $P = 0.022$ , n = 3) of the basal expression with the empty vector. This result suggests that overexpression of PGC-1 $\alpha$  can attenuate atRA repression of CYP7A1 expression. In other words, even though the total amount of PGC-1 $\alpha$  may not change, depletion of PGC-1 $\alpha$  levels in the CYP7A1 promoter may lead to decreased CYP7A1 mRNA expression. To further assess this possibility, we performed ChIP assays. Fig. 8B demonstrates that 5  $\mu$ M atRA reduced PGC-1 $\alpha$  but not HNF4 $\alpha$  binding to the CYP7A1 promoter, whereas Western blot demonstrated that atRA did not change the level of nuclear expression of these two proteins (data not shown).

## DISCUSSION

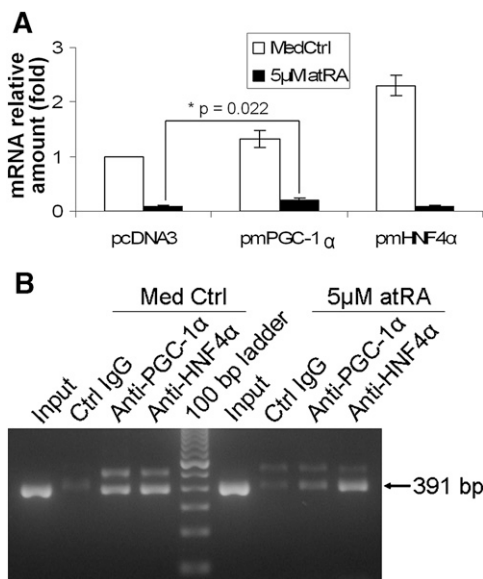
High doses of RAs have been used to treat patients with APL, acne, and other dermatological disorders, often



**Fig. 7.** Knockdown of FXR or RXR $\alpha$  diminished but not abolish atRA repression of CYP7A1 mRNA expression in siRNA transfected primary human hepatocytes. Human hepatocytes were transfected with siFXR, siRXR $\alpha$ , or siCtrl for 24 h, and then treated with atRA or CDCA for additional 24 h (n = 4). **A:** Western blot of FXR and RXR $\alpha$  from siRNA transfected human hepatocytes, where SH-PTP serves as loading control. **B:** CYP7A1, FGF19, and SHP mRNA expression in these cells, data normalized to GAPDH, n = 4. atRA, all-*trans* retinoic acid; CDCA, chenodeoxycholic acid; CYP7A1, cholesterol 7  $\alpha$ -hydroxylase; FGF19, fibroblast growth factor 19; FXR, farnesoid X receptor; RXR, retinoid X receptor; SHP, small heterodimer partner.

resulting in hyperlipidemia (34–39). To our knowledge, there is no report of liver RA concentrations in these patients, but we speculate that they should be at levels of no less than 5  $\mu$ M. This is because (1) the liver is the major organ for storage and metabolism of RAs; (2) RA levels greater than 50  $\mu$ M are toxic to hepatocytes (47); and (3) liver toxicity (elevated serum aminotransferases) are often seen in RA-treated patients (38). In this study we have examined potential mechanisms for these side effects. Here we demonstrate that a high dose (5  $\mu$ M) of atRA represses CYP7A1 expression in both HepG2 cells and primary human hepatocytes (Figs. 1 and 2). Because CYP7A1 is the major enzyme that converts cholesterol into bile acids, inhibition of this metabolic pathway by RA could account for development of hypercholesterolemia in RA-treated patients.

Human CYP7A1 expression is tightly regulated by nuclear receptors. HNF4 $\alpha$  and LRH-1 are positive regulators, whereas FXR, PXR, CAR, VDR, and PPAR $\alpha$  are negative regulators. Our finding that atRA activated FXR/RXR in promoter reporter assays (Fig. 3) suggests that reduced CYP7A1 expression in atRA-treated cells may be mediated through the FXR/RXR signaling pathway. In support of this hypothesis, we found that atRA strongly induced the mRNA levels of FGF19 and SHP, two FXR targets that independently mediate FXR repression of CYP7A1 expression in both HepG2 cells and primary human hepatocytes (Figs.



**Fig. 8.** PGC-1 $\alpha$  also plays an important role in CYP7A1 expression. A: Diminished repression of atRA in CYP7A1 mRNA expression in PGC-1 $\alpha$  but not HNF4 $\alpha$  or empty vector-transfected HepG2 cells. \* $P = 0.022$ ;  $n = 3$ . B: ChIP assay detected reduced PGC-1 $\alpha$  in CYP7A1 promoter after atRA treatment. atRA, all-trans retinoic acid; ChIP, chromatin immunoprecipitation; CYP7A1, cholesterol 7  $\alpha$ -hydroxylase; HNF4 $\alpha$ , hepatocyte nuclear factor 4 $\alpha$ ; PGC-1 $\alpha$ , peroxisome proliferator-activated receptor gamma coactivator 1  $\alpha$ .

1 and 2). Notably, 5  $\mu$ M atRA showed greater induction of FGF19 and repression of CYP7A1 in primary human hepatocytes than in HepG2 cells (313-fold versus 2-fold for FGF19, and 0.7% versus 13% for CYP7A1, respectively). As Fgf15 (the mouse ortholog of human FGF19) plays a major role in Fxr-induced Cyp7a1 repression in vivo in mice and as Song et al. have recently demonstrated Fxr-FGF19 autocrine signaling in regulating CYP7A1 expression in human hepatocytes (14), we propose that atRA repression of human CYP7A1 is mediated mainly through FGF19 in the Fxr-dependent signal pathway. In line with this speculation, Schaap et al. have observed large increases in FGF19 but not SHP mRNA in the liver of cholestatic patients where substantially reduced CYP7A1 expression was also detected (5). Fxr's role in modulating cholesterol homeostasis is further supported by the observation that serum cholesterol levels are increased in some gallstone patients treated with the Fxr ligand CDCA while cholesterol levels are reduced in mice treated with the Fxr antagonist guggulsterone (40, 46).

To elucidate how atRA activates Fxr/RXR, we next confirmed that this activation was mediated via the FXRE using the human *I-BABP* gene and the human *BSEP* gene promoter reporter assays (Fig. 3), where RXR $\alpha$  alone or in combination with RAR $\alpha$  did not show any stimulation in the same assay (data not shown). We then ruled out the possibility that atRA might be a ligand for Fxr using both a direct binding assay and a coactivator recruitment assay (Fig. 4 and data not shown). Third, we explored the possibility that metabolites of atRA might be ligands for human Fxr as this hypothesis has been proposed for mouse

Fxr (32). However, our data indicate that this activation is mediated through RXR, the obligated heterodimeric partner of Fxr. As Fig. 5A shows, atRA activates Fxr/RXR in the same manner as UVI3001 (an RXR $\alpha$  selective agonist) but not like CDCA, the Fxr agonist. In addition, CDCA and atRA demonstrated synergistic effect in this activation (Fig. 5B). If the metabolites of atRA are ligands for Fxr, these metabolites should compete with CDCA for the Fxr ligand binding pocket, and the ligand with higher affinity would have the dominant effect in this activation, where a synergistic effect would not be expected. Furthermore, mutations of RXR $\alpha$  but not Fxr diminished atRA activation of Fxr/RXR in reporter assays (Fig. 6). Altogether, these observations lead to the conclusion that atRA activation of Fxr/RXR is mediated by RXR $\alpha$  most likely after conversion to 9cRA (48, 49), which is the specific ligand for RXR $\alpha$  (31). This permissive activation of Fxr via its heterodimeric partner has also been observed with other synthetic RXR ligands (29, 30), where reduced Cyp7a1 mRNA expression was seen in vivo in mice. However, hypercholesterolemia was not seen in those mice after administration of a synthetic RXR agonist for 7 days (29).

To further assess the role of Fxr/RXR in atRA repression of CYP7A1, we knocked down Fxr or RXR $\alpha$  in human hepatocytes. In this experiment, increased basal CYP7A1 mRNA and diminished atRA repression were observed in the Fxr knockdown cells, which were accompanied by reduced basal expression and induction of FGF19. This finding further supports a role for Fxr/RXR in the regulation of CYP7A1 expression. Nevertheless, as atRA continues to repress the expression of CYP7A1 in these knockdown studies, atRA must also be activating via Fxr/RXR-independent mechanisms as part of this regulation, although it is also possible that the remnant Fxr and RXR $\alpha$  in the knockdown cells may still be capable of repressing CYP7A1 expression. To explore this possibility further, we found that overexpression of PGC-1 $\alpha$ , a coactivator of HNF4 $\alpha$  in the CYP7A1 promoter, diminished the ability of atRA to repress CYP7A1 expression in transfected HepG2 cells (Fig. 8A). In addition, ChIP assays also confirmed that atRA reduced the binding of PGC-1 $\alpha$  to the CYP7A1 promoter (Fig. 8B). Together, these results indicate that PGC-1 $\alpha$  is also a critical regulator in CYP7A1 expression. Thus, it is likely that when cells are treated with atRA, many additional nuclear receptors may be activated, including RARs and the permissive nuclear receptors PPARs and LXRs. Activation of these nuclear receptors may compete with HNF4 $\alpha$  for PGC-1 $\alpha$  and result in decreased CYP7A1 expression. However, we cannot rule out that other Fxr/RXR-independent mechanisms might be also involved in mediating atRA repression of CYP7A1 expression. In fact, Marrapodi and Chiang have reported that activation of PPAR $\alpha$  by its agonist can also inhibit human CYP7A1 expression in HepG2 cells (50). Therefore, it is also possible that atRA may repress CYP7A1 expression through PPAR $\alpha$  signaling, as PPAR $\alpha$  is a permissive nuclear receptor as well (29, 30). These possibilities remain to be examined.

Fxr regulates the expression of its targets in a ligand- and promoter-selective fashion (44, 51), although details



of the mechanisms remain elusive. In this report, we also found that atRA regulated FXR targets in a selective manner. For example, atRA alone stimulated the mRNA expression of SHP, FGF19, and OST $\beta$ , but not BSEP or OST $\alpha$  (Figs. 1 and 2). However, we cannot rule out the possibility that there may be as yet undescribed retinoic acid response elements in SHP, FGF19, and OST $\beta$  genes. Further, atRA and CDCA demonstrated a synergistic effect in their mRNA expression. This synergistic effect on SHP expression and the attenuation of BSEP induction was also reported by others when HepG2 cells were treated with CDCA and 9cRA (52, 53). However, in those studies reduced FXR/RXR binding to the FXRE in the human BSEP promoter was observed in gel-shift and pull-down assays. Alternatively, as we suggest here, the supply of PGC-1 $\alpha$  might also affect the expression of nuclear receptor targets by reducing the availability of this or other cofactors for specific gene expression. Finally, in contrast to previous reports (52, 53), we detected synergistic effects of atRA and CDCA in the minimal promoters of both human I-BABP and BSEP genes in reporter assays. Longer promoter regions (>1.5 kb) of the human BSEP gene were used in those reports and could account for these differences.

In addition to CYP7A1, atRA and CDCA also mildly but significantly reduced CYP27A1 and NTCP mRNA expression in human hepatocytes (Fig. 2 and data not shown). Previous reports have indicated that induction of SHP expression by CDCA in human cells accounts for the inhibitory effects on the expression of these two genes (20, 21). As increased SHP expression was also observed in atRA-treated human hepatocytes, we speculate that reduced expression of CYP27A1 and NTCP may be mediated through the same pathway.

### SUMMARY

The present study demonstrates that atRA specifically represses human CYP7A1 expression. This suppression is mediated through both FXR/RXR-dependent and independent mechanisms. Neither atRA nor its metabolites are ligands for FXR. Rather, atRA and other RAs are capable of conversion to 9cRA, and then permissively activate FXR/RXR. We propose that these findings may provide a potential explanation for hyperlipidemia in patients treated with high doses of RA. **Fig.**

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